

2176

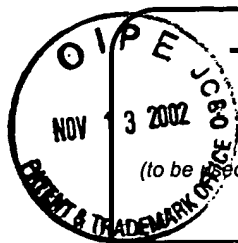
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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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TRANSMITTAL FORM

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Total Number of Pages in This Submission

9

Application Number

09/455,805

Filing Date

12/07/99

First Named Inventor

Susan D. Woolf, et al.

Group Art Unit

2176

Examiner Name

TBA

Attorney Docket Number

003797.78802

ENCLOSURES (check all that apply)

☒ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☒ Resubmission of Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/ Incomplete Application

☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

☐ Assignment Papers (for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a Provisional Application

☐ Power of Attorney, Revocation Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) _____

☐ After Allowance Communication to Group

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please identify below):

Copy of US 2002-0099552 A1; copy of Information Disclosure Statement; PTO 1449 and Transmittal filed 10/24/02

Remarks

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NOV 15 2002

Technology Center 2100

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Gary D. Fedorochko, Reg. No. 35,509

Signature

Gary D. Fedorochko

Date

November 13, 2002

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: _____

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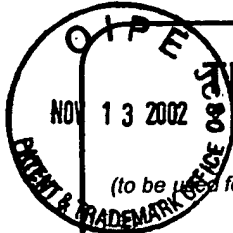
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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Applicati n Number	09/455,805
		Filing Date	12/07/99
		First Named Inventor	Susan D. Woolf, et al.
		Group Art Unit	2176
		Examiner Name	TBA
Total Number of Pages in This Submission	4	Attorney Docket Number	003797.78802

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) ____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <p style="text-align: center;">PTO 1449, 10 references, copies of five related applications</p>
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Gary D. Fedorochko, Reg. No. 35,509
Signature	<i>Gary D. Fedorochko</i>
Date	October 24, 2002

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#6



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Susan D. Woolf, et al.

Serial Number: 09/455,805

Filed: December 7, 1999

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Group Art Unit: 2176

Examiner: TBA

Attorney Docket No. 003797.78802

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NOV 15 2002

Technology Center 2100

For: SYSTEM AND METHOD FOR ANNOTATING AN ELECTRONIC DOCUMENT
INDEPENDENTLY OF ITS CONTENT

RESUBMISSION OF INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Sir:


Further to the Information Disclosure Statement, which was filed in the U.S. Patent and Trademark Office on October 24, 2002, a U.S. published application (US 2002-0099552 A1) was listed for consideration on the PTO Form 1449. However, we believe a copy of this published application may not have been attached to the Information Disclosure Statement filed on October 24, 2002. For your convenience, attached is a copy of US 2002-0099552 A1 to Rubin et al. Additionally, along with this submission, attached is a copy of the Information Disclosure Statement, PTO 1449 and Transmittal that were filed on October 24, 2002.

The Information Disclosure Statement filed October 24, 2002 was filed before the mailing date of a first Office Action on the merits. Additionally, this Resubmission of Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. Therefore, we believe no fee is necessary for the filing of this Resubmission of the published application. Further, the Commissioner is authorized to charge any necessary fees that may be required or credit any overpayment to our Deposit Account No. 19-0733.

Respectfully submitted,
BANNER & WITCOFF, LTD.

Dated: 11/13/02

By:



Gary D. Fedorochko
Registration No. 35,509

1001 G Street, N.W.
Washington, D.C. 20001-4597
(202) 508-9100



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	Group Art Unit: 2176
)	
Susan D. Woolf, et al.)	Examiner: TBA
)	
Serial Number: 09/455,805)	Attorney Docket No. 003797.78802
)	
Filed: December 7, 1999)	

For: SYSTEM AND METHOD FOR ANNOTATING AN ELECTRONIC
DOCUMENT INDEPENDENTLY OF ITS CONTENT

INFORMATION DISCLOSURE STATEMENT

Commissioner of U.S. Patent and Trademark Office
Washington, D.C. 20231

Sir:

In accordance with 37 C.F.R. §1.97 and §1.98, enclosed is a PTO Form-1449 listing art for consideration by the Examiner and a copy of each of the identified documents.

The references contained in this information disclosure statement were cited in Information Disclosure Statements filed in the U.S. Patent and Trademark Office by Applicants in related application U.S. Serial No. 09/456,127.

Applicants also would like to apprise the Examiner of five related applications, 09/457,109; 09/456,127; 09/556,865; 09/456,975 and 09/456,249. A copy of these applications are being provided herewith pursuant to 37 C.F.R. § 1.98(a).

Consideration of all information is respectfully requested.

Information Disclosure Statement

Serial No. 09/455,805

Page 2

The submission of the listed documents is not intended as an admission that any such documents constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed documents as a competent reference against the claims of the present application.

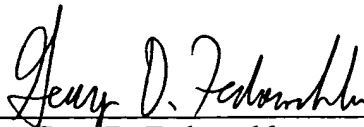
The accompanying Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. No certification or fee is required. However, in the event a fee is due, please charge Deposit Account No. 19-0733. Further, the Commissioner is authorized to charge any additional fees that may be required or credit any overpayment to our Deposit Account No. 19-0733.

It is respectfully requested that the Examiner fully consider each of the documents, initial the enclosed Form PTO-1449 in the appropriate place to indicate that the document has been considered, and return a copy of the initialed form to the undersigned in accordance with MPEP Section 609.

Respectfully submitted,

Date: October 24, 2002

By:



Gary D. Fedorochko
Registration No. 35,509

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